# Available and Emerging Biomarkers to Inform Decision-Making for Patients with Early-Stage Prostate Cancer (PC) and Use of Active Surveillance

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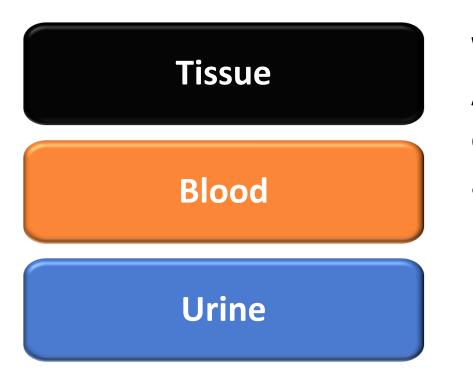
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# Prostate Cancer: Current Needs

- Refine PSA
- Increase the likelihood of an initial positive biopsy that will identify significant prostate cancer
- Reduce **unnecessary repeat** biopsies
- **Stratify** low risk from higher risk cancers
- Will PCMs (Prostate Cancer Markers) improve our management?

# PCMs



## What is a biomarker?

A molecule that can be found in blood, tissue or body fluids that is a sign of a normal or abnormal process

## Pathological Outcomes in Men with Low Risk and Very Low Risk Prostate Cancer: Implications on the Practice of Active Surveillance

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#### Abbreviations and Acronyms

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For another article on a related topic see page 1410.

**Purpose:** We assessed oncologic outcomes at surgery in men with low risk and very low risk prostate cancer who were candidates for active surveillance.

**Materials and Methods:** In a prospectively collected institutional database, we identified 7,486 subjects eligible for active surveillance who underwent radical retropubic prostatectomy. Candidates were designated as being at low risk (stage T1c/T2a, prostate specific antigen 10 ng/ml or less, and Gleason score 6 or less) or very low risk (stage T1c, prostate specific antigen density 0.15 or less, Gleason score 6 or less, 2 or fewer positive biopsy cores, 50% or less cancer involvement per core) based on preoperative data. Adverse findings were Gleason score upgrade (score 7 or greater) and nonorgan confined cancer on surgical pathology. The relative risk of adverse findings in men at low risk with very low risk disease was evaluated in a multivariate model using Poisson regression.

**Results:** A total of 7,333 subjects met the criteria for low risk disease and 153 had very low risk disease. The proportion of subjects at low risk found to have Gleason score upgrade or nonorgan confined cancer on final pathology was 21.8% and 23.1%, respectively. Corresponding values in those at very low risk were 13.1% and 8.5%, respectively. After adjusting for age, race, year of surgery, body mass index, and prostate specific antigen at diagnosis, the relative risk of Gleason score upgrade in men with low risk vs very low risk disease was 1.89 (95% CI 1.21–2.95). The relative risk of nonorgan confined cancer was 2.06 (95% CI 1.19–3.57).

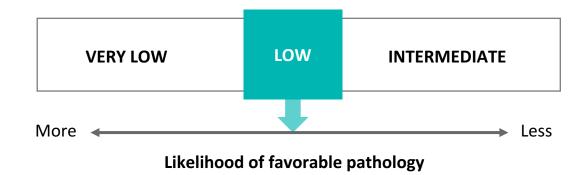
**Conclusions:** Men with very low risk prostate cancer were at significantly lower risk for adverse findings at surgery compared to those with low risk disease. These data support the stratification of low risk cancer when selecting and counseling men who may be appropriate for active surveillance

# Comparison of Molecular Tests

| Prostate Cancer Molecular Test Grid    |  |  |   |  |   |  |
|--|--|--|---|--|---|--|
| Test                                   | Description  | Validated Endpoint(s)  | Biomarker Selection Specific<br>for Prostate Cancer | Specimen   | Patient Access  |  |
| oncotype DX°<br>Prostate VCancer Assay | Genomic Prostate<br>Score (GPS)<br>Predicts the likelihood of adverse pathology<br>using multiple genetic pathways               | Adverse Pathology at RP<br>Likelihood of high-grade disease<br>Likelihood of non-organ-confined disease<br>5-year BCR<br>NCCN Guidelines®          | YES   | <b>Positive Biopsy</b><br>NCCN Very Low, Low, Low-<br>intermediate risk<br>GS 3+3, 3+4 | Medicare Reimbursed for NCCN Very<br>Low/Low<br>Financial Assistance Available: Patient contacted if<br>out-of-pocket<br>>\$100 |  |
| <b>Prolaris</b> ®                      | Cell Cycle Progression Score<br>(CCP)<br>Reports the risk of dying from untreated<br>disease in 10 years, using a single pathway | In a biopsy setting:<br><b>10-year Untreated Mortality</b><br>in a post-RP setting:<br><b>10-year BCR</b><br><b>Metastasis</b><br>NCCN Guidelines® | NO  | <b>Prostatectomy Positive</b><br><b>Biopsy</b><br>AUA Low-High Risk                    | Medicare Reimbursed for NCCN Very<br>Low/Low<br>Financial Assistance Available: Patient contacted if<br>out-of-pocket<br>>\$375 |  |
| <b>ProMark</b> ®                       | <b>ProMark Score</b><br>Predicts likelihood of adverse<br>pathology using protein staining                                       | Adverse Pathology at RP<br>Likelihood of high-grade disease<br>Likelihood of non-organ-confined disease  | YES   | <b>Positive Biopsy</b><br>GS 3+3, 3+4  | Financial Assistance Available: Patient contacted if<br>out-of-pocket<br>>\$350   |  |
| ConfirmMDx®                            | <b>ConfirmMDx result</b><br>Predicts likelihood of negative repeat<br>biopsy   | Negative Repeat Biopsy   | YES   | Negative Biopsy HGPIN<br>Biopsy  | Medicare Reimbursed Financial Assistance<br>Available: Patient contacted if out-of-pocket<br>>\$500                             |  |
| Decipher <sup>®</sup>                  | Genomic Classifier<br>Predicts the probability of metastasis after<br>surgery  | 5-year Metastasis  | YES   | <b>Prostatectomy</b><br>pT3 or pT2 w/positive margin                                   | Medicare Reimbursed Financial Assistance<br>Available: Patient contacted if out-of-pocket<br>>\$395                             |  |
| 4Kscore®                               | <b>4Kscore</b><br>Provides probability of aggressive cancer  | Likelihood of GS 3+4 and higher at biopsy  | YES   | <b>Blood</b><br>Biopsy-eligible patients   | Financial Assistance is not reported on website   |  |

## Oncotype DX

- Biopsy-based, 12 prostate cancer-specific genes test that has been clinically validated to predict the likelihood of adverse pathology using multiple genomic pathways, allows better personalized risk stratification to determine who is best suited for active treatment vs active surveillance
- Using the original needle positive biopsy
- Test independently predicts:
  - Likelihood of high-grade disease
  - Likelihood of non-organ-confined disease
  - Biochemical recurrence after RP
- Genomic Prostate Score from 0 to 100
- Covered by Medicare for qualified patients



# Gene Selection for the Oncotype DX<sup>®</sup> GPS Assay



374 genes predict outcome (dominant)

288 genes predictive regardless of sampled Gleason pattern

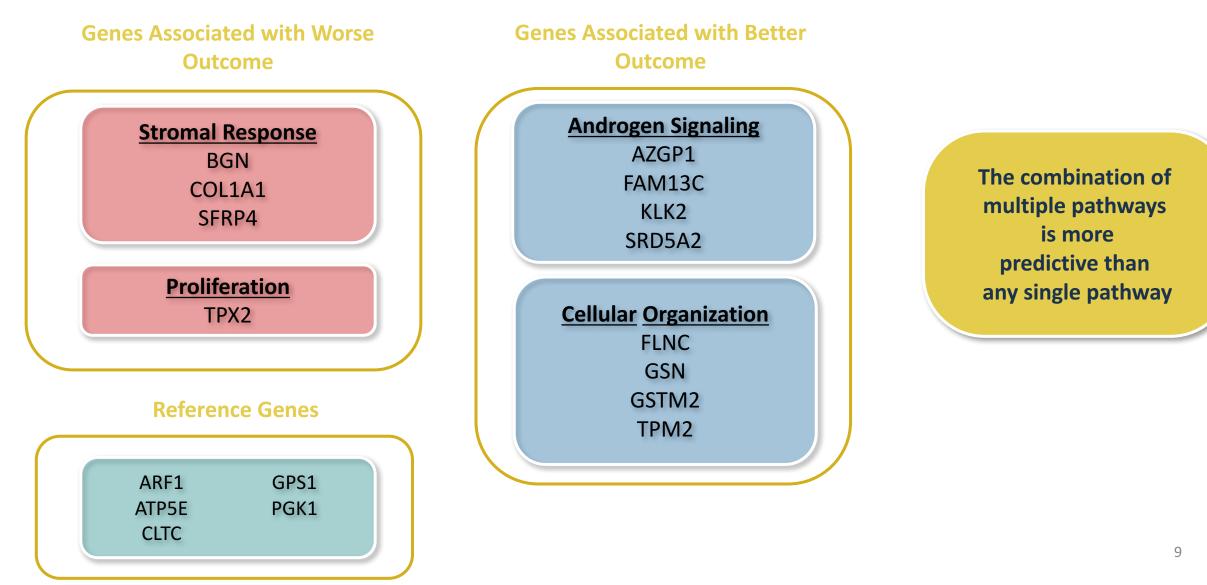
288 Genes

727 candidate genes in highest Gleason samples

367 genes predict outcome (highest)

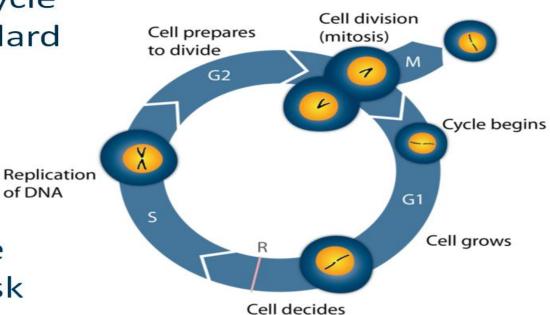
## Final 17 GPS Genes

# GPS Incorporates Multiple Biologic Pathways Predictive of Prostate Cancer Aggressiveness



# THE PROLARIS<sup>®</sup> TEST

- Combines RNA expression of cell cycle progression (CCP) genes with standard clinicopathologic parameters
  - 31 genes across multiple cell cycle progression pathways
  - 15 housekeeper genes
- Each 1 unit change in Prolaris score equals a doubling (or halving) of risk
- Validated on multiple tissue types
  - Biopsy tissue
  - Radical prostatectomy tissue
- Provides personalized risk assessment
  - Prognostic for BCR, metastasis, and PCa mortality



whether to continue

Prolaris

# CCP Score Adds Significant Prognostic Information

|   |           | Multivariate model*      |                      |                   |                             |  |
|---|-----------|--------------------------|----------------------|-------------------|-----------------------------|--|
| Study                                   | Endpoint  | Hazard ratio<br>(95% CI) | CCP score<br>p-value | PSA<br>p-value    | Gleason<br>Score<br>p-value |  |
| TURP conservatively managed             | CaP death | 2.6 (1.9, 3.4)           | <10 <sup>-10</sup>   | <10 <sup>-7</sup> | 0.028                       |  |
| Needle Biopsy<br>conservatively managed | CaP death | 1.7 (1.3, 2.1)           | <10-4                | 0.017             | 0.0022                      |  |
| Rad Prostatectomy 1                     | BCR       | 1.7 (1.4, 2.2)           | <10 <sup>-5</sup>    | <10 <sup>-8</sup> | 0.015                       |  |
| Rad Prostatectomy 2                     | BCR       | 2.0 (1.4, 2.8)           | <10-4                | 0.12              | 0.17                        |  |
| External Beam XRT                       | BCR       | 2.1 (1.0, 4.2)           | 0.035                | 0.054             | 0.20                        |  |

## Prolaris

- Uses 31 cell cycle-related genes
- Predicts disease-specific mortality and disease aggressiveness in prostate cancer patients following needle biopsy
- Post-prostatectomy patients to better estimate the risk of biochemical recurrence
- Prolaris score is combined with patient's clinical-pathologic values to estimate a 10-year prostate cancer-specific mortality risk

Koch MO, et al. Cancer Biomark. 2016;17(1):83-88.

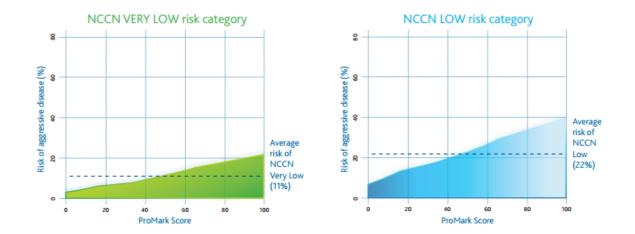
- Endpoints of aggressiveness, mortality risk and US distribution percentile
  - Validated in relevant endpoints: BCR, metastasis, DSM
- Scores from 0 to 10
  - AS zone for helping with AS decision in untreated patients
- Prolaris Biopsy covered by Medicare for qualified patients

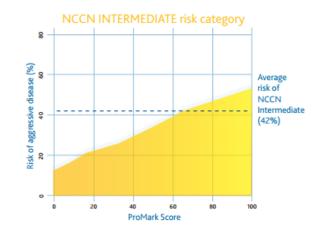
#### >0% 1.7 %DSM 3% Disease Specific Mortality This patient's 10 year risk of prostate cancer-specific mortality is 1.7% (95% Cl:.9-3.1%) with conservative management. Mortality risks could be altered by various therapeutic interventions.\*\*\*x

### Mortality Risk: 1.7% 10-Year Prostate Cancer-Specific

## ProMark

- Proteomic assay utilizing automated image analysis technology that identifies tumor and benign tissues
- Measures the quantitative expression levels of eight protein biomarkers
  - DERL1, CUL2, SMAD4, PDSS2, HSPA9, FUS, pS6, YBOX1
- Patients with biopsy Gleason Scores 3+3 and 3+4
- Identify tumor aggressiveness
- Scores from 0 to 100
- Covered by Medicare for qualified patients





## Decipher

- Localized prostate cancer
  - Uses a biopsy to calculate the prognosis to determine if active surveillance, local therapy or multi-modal therapy is needed
  - Based on the patient's personal tumor-based genomics
- Post radical prostatectomy
  - Uses the expression of biomarkers to calculate the probability of clinical metastasis within 5 years of radical prostatectomy surgery
  - Analyzes a small tissue sample that was removed during surgery
  - Predicts probability of metastasis after surgery and provides independent assessment of tumor aggression
  - Measures the expression levels of 22 RNA biomarkers involved in multiple biological pathways across the genome that are associated with aggressive prostate cancer
- Endpoints of probability of high grade disease, 5-year probability of metastasis and 10-year probability of prostate cancer specific mortality
- Decipher Score
  - 0 0.45 = Low Risk
  - 0.45 0.6 = Average Risk
  - 0.6 -1 = High Risk
- Covered by Medicare for qualified patients post-RP

# What is Decipher Biopsy?

Classifies men into Decipher High or Low genomic risk for:

- 1. % likelihood High Grade Disease (Gleason 4 or 5)
- 2. % likelihood of Metastasis 5 years post prostatectomy
- % likelihood Prostate Cancer Specific Mortality (PCSM) 10 years

Patient Inclusion Criteria:

- NCCN Very Low Risk
- NCCN Low Risk
- NCCN Intermediate Risk
- > NCCN High Risk



#### **Decipher Biopsy Report**

| Patient Details:   |   |                                      | Order Information:   |   |  |
|--|---|--------------------------------------|--|---|--|
| Patient Name:John DoeMedical Record Number:9876543Date of Birth:03/03/1957Date of Biopsy:10/06/2014Pathology Laboratory:ABC PathologyPathologist:Dr. PathologistAddress:789 Medical Dr. Th |   |                                      | Order Date:<br>Specimen Received Date:<br>GenomeDx Accession ID:<br>Specimen ID:     | 01/01/2015<br>01/02/2015<br>1234567<br>7654321<br>Dr. Joseph Doctor<br>Urology Practice<br>123 Maple Ave, Somewhere CA 9123 |  |
|  |   | ere CA 98765                         | Ordering Physician:<br>Clinic/Hospital Name:<br>Clinic/Hospital Address:             |   |  |
|  |   | Additional Physician:                |  | N/A   |  |
|  |   |                                      | (  |   |  |
| 1.0  |   | Your Dec                             | cipher Result – G  | BIOPSY<br>enomic Low Risk   |  |
| High   | ( | Your Dec                             | cipher Result – G<br>Decipher Score  | enomic Low Risk   |  |
|  |   | Your Dec                             |  | enomic Low Risk<br>a 0.26   |  |
| High<br>Risk   |   |                                      | Decipher Score   | enomic Low Risk<br>a 0.26   |  |
| High<br>Risk   |   |                                      | Decipher Score<br>Risk at RP - Percent L<br>ase (primary Gleason grade 4 or 5)       | enomic Low Risk<br>e 0.26<br>ikelihood  |  |
| High<br>Risk   |   | High Grade Disea<br>5-Year Metastasi | Decipher Score<br>Risk at RP - Percent L<br>ase (primary Gleason grade 4 or 5)       | enomic Low Risk<br>e 0.26<br>ikelihood<br>13.5%   |  |
| High<br>Risk   |   | High Grade Disea<br>5-Year Metastasi | Decipher Score<br>Risk at RP - Percent L<br>ase (primary Gleason grade 4 or 5)<br>is | enomic Low Risk<br>a 0.26<br>ikelihood<br>13.5%<br>1.2%<br>2.1%   |  |

References on reverse

# Decipher Biopsy has predictive value for various endpoints (Feb 2016 - May 2017)

| Publication                                    | Institution   | Patients (n) | Primary Objective/Key Results  |
|--|---|--------------|--|
| Klein et al., Urology 2016                     | Cleveland Clinic                                    | 57 patients  | Decipher was shown to predict risk of metastasis 10 years post RP using needle biopsy tissue. Decipher had the highest AUC (0.80; 95% CI, 0.58-0.95) compared to NCCN (0.75; 95% CI, 0.64-0.87) at predicting 10 years post-RP metastasis. The AUC was 0.88 when Decipher was combined with the NCCN model. Pre-operatively, 40% and 47% of patients were NCCN low and intermediate risk, respectively. Decipher categorized 67%, 25% and 9% of patients as low (<0.45), intermediate (0.45-0.60) and high, respectively. Furthermore, Decipher reclassified 48% of NCCN intermediate risk men as low. |
| Lee et al., Urology<br>Research & Reports 2016 | UCSD  | 22 patients  | Decipher was shown to predict the presence of lymph node involvement (LNI) upon radical prostatectomy. Decipher<br>had an AUC of 0.78 for predicting LNI, significantly higher than Gleason score, pathological stage and preoperative PSA<br>(all AUC<0.7). On MVA, for every 10% increase in Decipher score, the odds of having LNI on RP increased by 43%. The<br>concordance between the biopsy Decipher and RP Decipher risk groups was 86%.  |
| Nguyen et al., PCPD 2016                       | DanaFarber/<br>Harvard                              | 100 patients | Study suggests that patients with the highest GC risk (GC>0.6) had high rates of metastasis despite multi-modal therapy<br>and could be considered for longer duration ADT and/or clinical trials. The Decipher genomic classifier's (GC) ability to<br>predict distant metastases after radiation and short-course androgen deprivation therapy (ADT) using needle biopsy<br>tissue was explored. 100 patients (NCCN intermediate and high risk) received RT + [median] 6mo ADT. GC significantly<br>and independently predicted metastasis (HR. 1.41 on MVA) and performed with a c-index of 0.77.   |
| Nguyen et al., European<br>Urology, 2017       | Multiple (UCSF,<br>Cleveland Clinic,<br>JHU + more) | 235 patients | Biopsy Decipher was a significant predictor of PCSM with a 5-year PCSM rate as well as 5-year metastasis. Patients with biopsy Decipher low-, intermediate- and high-risk had a metastasis rate of 4.1%, 7.8% and 21% by 5 years post-biopsy. The risk of 5 year PCSM was 0%, 0%, and 9.4% for Decipher low, intermediate, and high, respectively (HR 1.57 56 per 10% increase in score, 57 95% CI 1.03-2.48, P=0.037).  |

NCCN NCCN NCCN Network®

## NCCN Guidelines Version 2.2017 Prostate Cancer

and recommendations for active surveillance as the only option for men with low-risk prostate cancer and life expectancy less than 10 years or very-low-risk prostate cancer and life expectancy less than 20 years. Although risk groups, life expectancy estimates, and nomograms help inform decisions, uncertainty about the risk of disease progression persists. American men continue to under-select active surveillance and their physicians may under-recommend it, likely as a result of this uncertainty.<sup>55</sup> In 2013, <20% of men with low-risk prostate cancer were managed with active surveillance.<sup>56</sup> However, active surveillance has become more common in some areas, such as Michigan, where its frequency has been measured and educational efforts have begun.<sup>57,58</sup>

Several tissue-based molecular assays have been developed in an effort to improve decision-making in newly diagnosed men considering active surveillance and in treated men considering adjuvant therapy or treatment for recurrence. Uncertainty about the risk of disease progression can be reduced if such molecular assays can provide accurate and reproducible prognostic or predictive information beyond NCCN risk group assignment and currently available life expectancy tables and noncorrams. Retrospective case cohort studies have shown Table 1 lists these tests in alphabetical order and provides an overview of each test, populations where each test independently predicts outcome, and supporting references. These molecular biomarker tests listed have been developed with extensive industry support, guidance, and involvement, and have been marketed under the less rigorous FDA regulatory pathway for biomarkers. Although full assessment of their clinical utility requires prospective randomized clinical trials, which are unlikely to be done, the panel believes that men with clinically localized disease may consider the use of tumor-based molecular assays at this time. Future comparative effectiveness research may allow these tests and others like them to gain additional evidence regarding their utility for better risk stratification of men with prostate cancer.

#### **Family History and DNA Repair Mutations**

Recent data indicate that of men with prostate cancer may have germline mutations in one of 16 DNA repair genes: *BRCA2* (5%), *ATM* (2%), *CHEK2* (2%), *BRCA1* (1%), *RAD51D* (0.4%), *PALB2* (0.4%), *ATR* (0.3%), and *NBN*, *PMS2*, *GEN1*, *MSH2*, *MSH6*, *RAD51C*, *MRE11A*, *BRIP1*, or *FAM175A*.<sup>66</sup> The overall prevalence of DNA repair gene

#### Approved by the AUA Board of Directors April 2017

Authors' disclosure of potential conflicts of interest and author/staff contributions appear at the end of the article.

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(ASTRO) / Society of Urologic Oncology (SUO)

## CLINICALLY LOCALIZED PROSTATE CANCER: AUA/ASTRO/SUO GUIDELINE

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Dirmaga

#### CARE OPTIONS BY CANCER SEVERITY/RISK GROUP

Very Low-/Low-Risk Disease

- 6. Clinicians should not perform abdomino-pelvic CT or routine bone scans in the staging of asymptomatic very lowor low-risk localized prostate cancer patients. (Strong Recommendation; Evidence Level: Grade C)
- 7. Clinicians should recommend active surveillance as the best available care option for very low-risk localized prostate cancer patients. (Strong Recommendation; Evidence Level: Grade A)
- 8. Clinicians should recommend active surveillance as the preferable care option for most low-risk localized prostate cancer patients. (Moderate Recommendation; Evidence Level: Grade B)
- Clinicians may offer definitive treatment (i.e. radical prostatectomy or radiotherapy) to select low-risk localized prostate cancer patients who may have a high probability of progression on active surveillance. (Conditional Recommendation; Evidence Level: Grade B)
- 10. Clinicians should not add ADT along with radiotherapy for low-risk localized prostate cancer with the exception of reducing the size of the prostate for brachytherapy. (Strong Recommendation; Evidence Level: Grade B)
- Clinicians should inform low-risk prostate cancer patients considering whole gland cryosurgery that consequent side effects are considerable and survival benefit has not been shown in comparison to active surveillance. (Conditional Recommendation; Evidence Level: Grade C)
- 12. Clinicians should inform low-risk prostate cancer patients who are considering focal therapy or high intensity focused ultrasound (HIFU) that these interventions are not standard care options because comparative outcome evidence is lacking. (Expert Opinion)
- 13. Clinicians should recommend observation or watchful waiting for men with a life expectancy ≤5 years with lowrisk localized prostate cancer. (Strong Recommendation; Evidence Level: Grade B)
- 14. Among most low-risk localized prostate cancer patients, tissue based genomic biomarkers have not shown a clear role in the selection of candidates for active surveillance. (Expert Opinion)