

NOVEL STRATEGIES UNDER INVESTIGATION FOR PATIENTS WITH CHRONIC LYMPHOCYTIC LEUKEMIA

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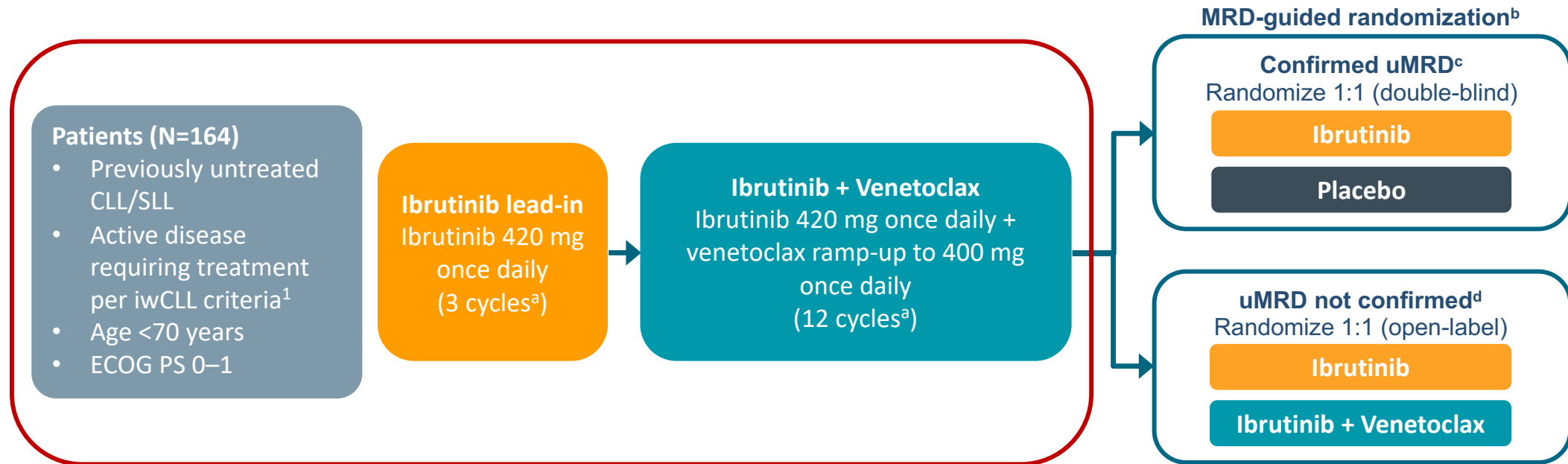
Agenda

- Available efficacy and safety outcomes from studies evaluating novel BTKi/Bcl-2i combinations; ongoing Phase III studies
- Biologic rationale for and early efficacy and safety data with CD19-directed CAR T-cell therapy in patients with relapsed/refractory CLL
- Eligibility criteria for and primary and secondary endpoints of the Phase I/II TRANSCEND CLL 004 trial assessing lisocabtagene maraleucel in relapsed/refractory CLL; key findings in the overall trial population and among double-refractory patients
- Other ongoing studies evaluating CAR T-cell therapy in relapsed/refractory CLL
- Other promising agents and strategies under investigation in CLL
- 2 representative patient case discussions

Novel BTKi/Bcl-2i combinations

- I+V trials:
 - CAPTIVATE Ph2 trial
 - MRD and fixed duration cohorts
 - CLARITY Ph2 trial
- Relapsed/refractory I+V trials
 - MDACC trial
 - Stanford/COH trial
- Ongoing Ph3 trials
 - Alliance: IO vs. IVO, age more than 70 yrs
 - ECOG-ACRIN: IO vs. IVO, age less than or equal to 70 yrs
 - UK FLAIR trial: I alone vs. [IR] vs. I+V x6 yrs vs. FCR

CAPTIVATE MRD Cohort: Study Design



- Results are presented for pre-randomization phase of the CAPTIVATE MRD cohort (N=164) with 12 cycles of ibrutinib + venetoclax prior to MRD-guided randomization
- Time-limited therapy with 12 cycles of ibrutinib + venetoclax to be evaluated in a separate fixed-duration cohort (N=159)

^a1 cycle = 28 days; patients may have received 1 additional cycle while awaiting confirmation of undetectable MRD for randomization. ^bStratified by IGHV mutation status. ^cConfirmed as having undetectable MRD (<10⁻⁴ by 8-color flow cytometry) serially over at least 3 cycles in PB, and undetectable MRD in both PB and BM. ^dDefined as having detectable MRD or undetectable MRD not confirmed serially or not confirmed in both PB and BM.

1. Hallek M et al. *Blood*. 2008;111:5446-5456.

High Rates of Undetectable MRD Achieved in PB and BM With Up to 12 Cycles of I + V Combination

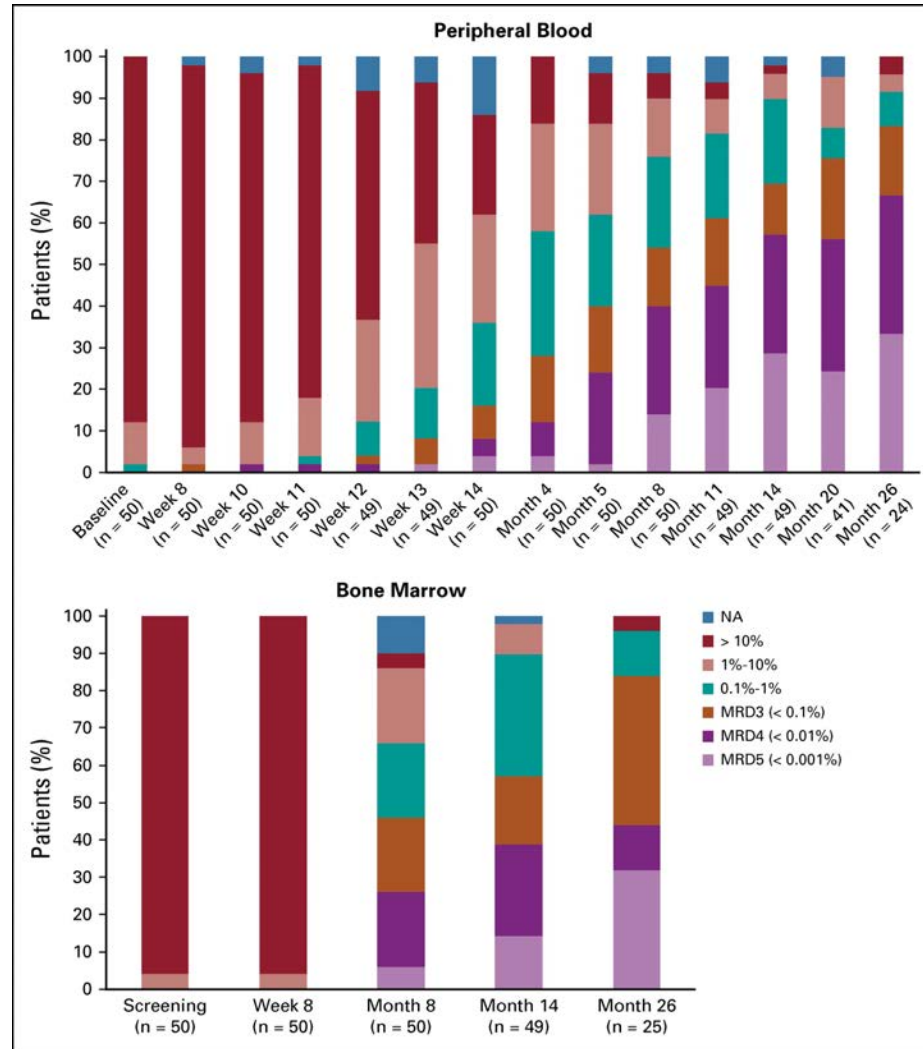
| | Peripheral Blood n=163 | Bone Marrow ^a n=155 |
|--|---------------------------|-----------------------------------|
| Best response of undetectable MRD in evaluable patients ^b (95% CI) | 75% (68–82) | 72% (64–79) |

- Rates of undetectable MRD in peripheral blood and bone marrow were highly concordant at Cycle 16 (91%)
- In the all-treated population (N=164), undetectable MRD was achieved in 75% of patients in peripheral blood and in 68% of patients in bone marrow with up to 12 cycles of combination
- Proportion of patients with undetectable MRD in peripheral blood increased over the 12 cycles of combination therapy
- At 15 months, 98% of patients were progression free with no deaths

^aBM MRD assessment was scheduled after completion of 12 cycles of combination treatment.

^bPatients with undetectable MRD at any postbaseline assessment; evaluable patients are those who had at least 1 MRD sample taken postbaseline.

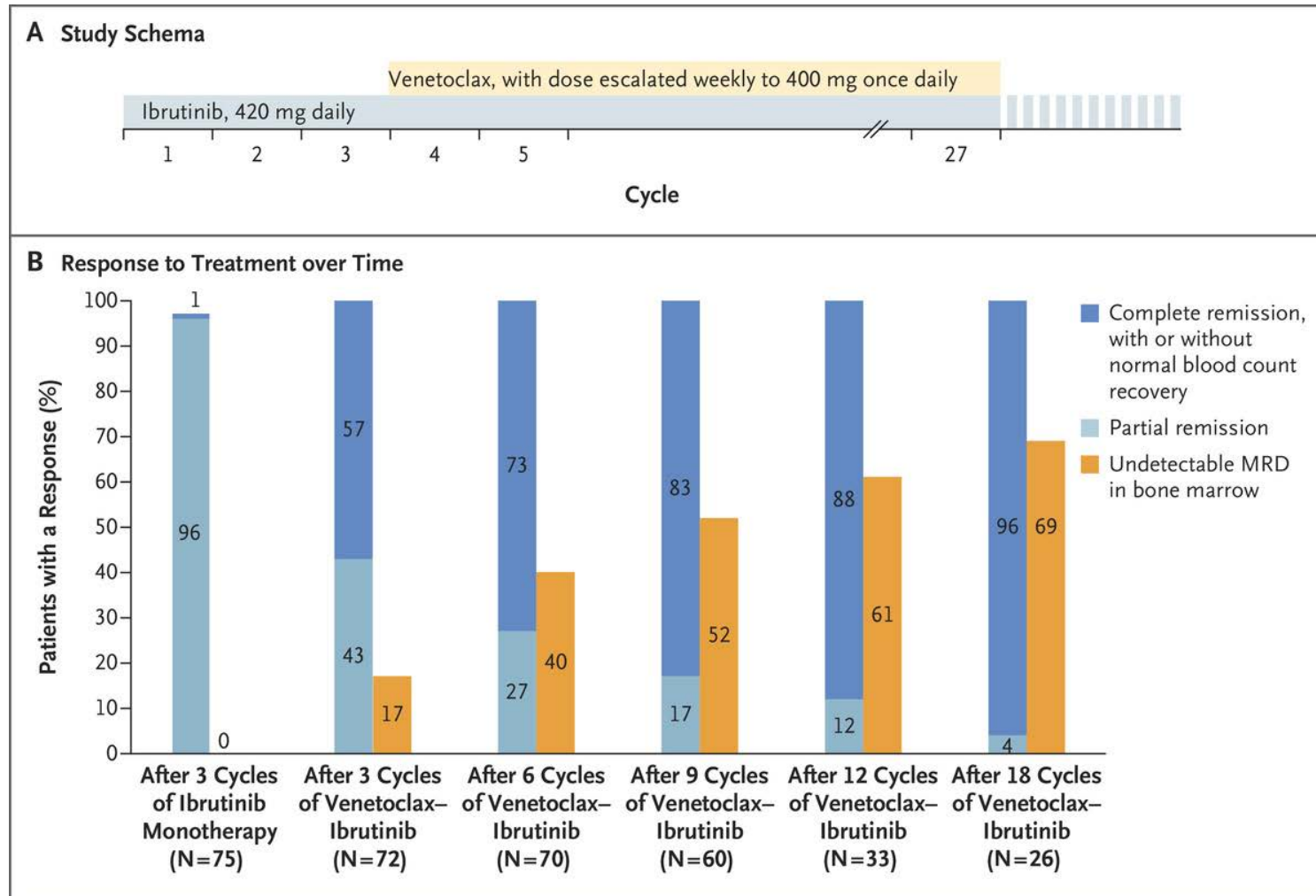
CLARITY Ph2 trial (up to 2 yrs of treatment)



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MDACC: IIT, Ph2, frontline high risk and older CLL pts, I+V for 24 cycles

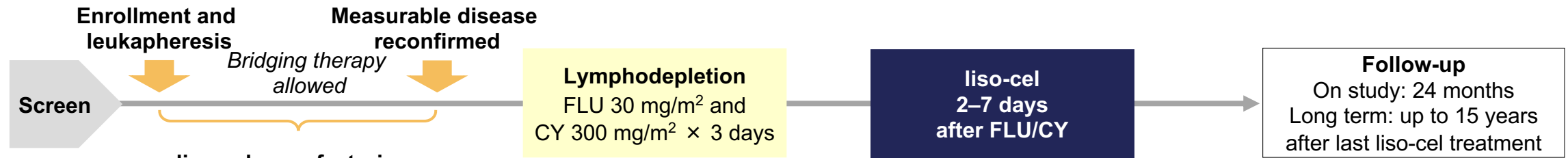


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CAR T cell therapy in CLL

TRANSCEND CLL 004 Study Design



Key Eligibility

- Relapsed/refractory CLL/SLL
- Failed or ineligible for BTKi
- High-risk disease: failed ≥ 2 prior therapies
- Standard-risk disease: failed ≥ 3 prior therapies
- ECOG PS of 0–1

Dose Escalation: mTPI-2 Design

28-day DLT period

Primary Objectives

- Safety
- Determine recommended dose

Exploratory Objectives

- Antitumor activity
- Pharmacokinetic profile

| Dose Level | Dose | Evaluable (N=23) |
|------------|------------------------------------|------------------|
| 1 | 50 × 10 ⁶ CAR+ T cells | 9 |
| 2 | 100 × 10 ⁶ CAR+ T cells | 14 |

Baseline Characteristics

| | All Patients (N=23) |
|--|------------------------|
| Age, years, median (range) | 66 (49–79) |
| Male, n (%) | 11 (48) |
| Time from diagnosis, months, median (range) | 87.5 (30–209) |
| Bulky disease >5 cm, n (%) ^a | 8 (35) |
| BALL risk score, ¹ median (range) | 2 (0–3) |
| SPD, cm ² , median (range) | 25 (2–197) |
| LDH, U/L, median (range) | 243 (119–634) |
| Received bridging therapy, n (%) | 17 (74) |
| Stage, n (%) | |
| Rai stage III/IV | 15 (65) |
| Binet stage C | 16 (70) |
| High-risk features (any), n (%) | 19 (83) |
| Del(17p) | 8 (35) |
| TP53 mutation | 14 (61) |
| Complex karyotype ^b | 11 (48) |
| Lines of prior therapy, median (range) | 5 (2–11) |
| Prior ibrutinib, n (%) | 23 (100) |
| Ibrutinib refractory/relapsed, n (%) | 21 (91) |
| BTKi progression and failed venetoclax, ^c n (%) | 9 (39) |

^aBulky disease defined as ≥1 lesion with longest diameter of >5 cm. ^b≥3 chromosomal aberrations. ^cFailed venetoclax defined as discontinuation due to PD or <PR after ≥3 months of therapy.

BTKi, Bruton tyrosine kinase inhibitor; LDH, lactate dehydrogenase; PD, progressive disease; PR, partial response; SPD, sum of the product of perpendicular diameters.

1. Soumerai JD, et al. *Lancet Haematol*. 2019;6:e366–e374.

Baseline Characteristics

| | All Patients (N=23) | Failed BTKi and Venetoclax (n=9) |
|--|------------------------|-------------------------------------|
| Age, years, median (range) | 66 (49–79) | 68 (59–76) |
| Male, n (%) | 11 (48) | 4 (44) |
| Time from diagnosis, months, median (range) | 87.5 (30–209) | 145 (30–209) |
| Bulky disease >5 cm, n (%) ^a | 8 (35) | 4 (44) |
| BALL risk score, ¹ median (range) | 2 (0–3) | 2 (0–3) |
| SPD, cm ² , median (range) | 25 (2–197) | 46 (2–197) |
| LDH, U/L, median (range) | 243 (119–634) | 245 (119–634) |
| Received bridging therapy, n (%) | 17 (74) | 7 (78) |
| Stage, n (%) | | |
| Rai stage III/IV | 15 (65) | 7 (78) |
| Binet stage C | 16 (70) | 7 (78) |
| High-risk features (any), n (%) | 19 (83) | 8 (89) |
| Del(17p) | 8 (35) | 2 (22) |
| TP53 mutation | 14 (61) | 6 (67) |
| Complex karyotype ^b | 11 (48) | 3 (33) |
| Lines of prior therapy, median (range) | 5 (2–11) | 6 (5–10) |
| Prior ibrutinib, n (%) | 23 (100) | 9 (100) |
| Ibrutinib refractory/relapsed, n (%) | 21 (91) | 9 (100) |
| BTKi progression and failed venetoclax, ^c n (%) | 9 (39) | 9 (100) |

^aBulky disease defined as ≥1 lesion with longest diameter of >5 cm. ^b≥3 chromosomal aberrations. ^cFailed venetoclax defined as discontinuation due to PD or <PR after ≥3 months of therapy. BTKi, Bruton tyrosine kinase inhibitor; LDH, lactate dehydrogenase; PD, progressive disease; PR, partial response; SPD, sum of the product of perpendicular diameters. 1. Soumerai JD, et al. *Lancet Haematol*. 2019;6:e366-e374.

Incidence and Management of CRS and NEs

| | All Patients (N=23) |
|--|--------------------------------|
| CRS—any grade, n (%) | 17 (74) |
| Median time to first onset, days (range) | 4 (1–10) |
| Median duration of first event, days (range) | 12 (2–50) |
| Grade 3, n (%) | 2 (9) |
| NE^a—any grade, n (%) | 9 (39) |
| Median time to first onset, days (range) | 4 (2–21) |
| Median duration of first event, days (range) | 21 (6–56) |
| Grade ≥3, ^b n (%) | 5 (22) |
| Any CRS or NE, n (%) | 18 (78) |
| CRS only, n (%) | 9 (39) |
| NE only, n (%) | 1 (4) |
| Tocilizumab and/or steroid use | |
| Tocilizumab only | 5 (22) |
| Steroids only | 3 (13) |
| Both tocilizumab and steroids | 9 (39) |
| Tocilizumab and/or steroid use | 17 (74) |

- No grade 5 CRS or NE occurred

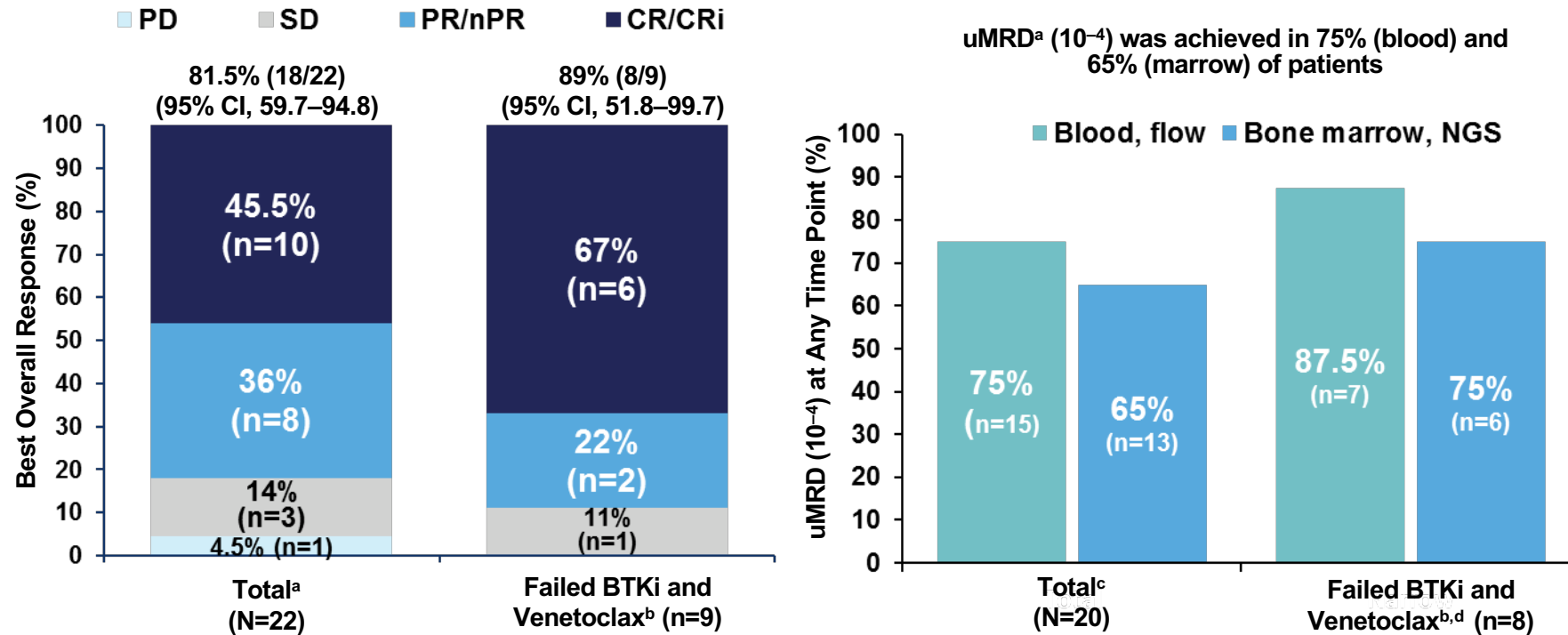
^aNEs are liso-cel related neurologic adverse events defined by the investigator; ^bNEs are not mutually exclusive; encephalopathy (n=3); aphasia (n=1); confusional state (n=1); muscular weakness (n=1); somnolence (n=1). BTKi, Bruton tyrosine kinase inhibitor; CRS, cytokine release syndrome; NE, neurological events; TEAEs, treatment-emergent adverse events.

Incidence and Management of CRS and NEs

| | All Patients (N=23) | Failed BTKi and Venetoclax (n=9) |
|--|------------------------|-------------------------------------|
| CRS—any grade, n (%) | 17 (74) | 6 (67) |
| Median time to first onset, days (range) | 4 (1–10) | 1.5 (1–4) |
| Median duration of first event, days (range) | 12 (2–50) | 21 (6–50) |
| Grade 3, n (%) | 2 (9) | 1 (11) |
| NE^a—any grade, n (%) | 9 (39) | 4 (44) |
| Median time to first onset, days (range) | 4 (2–21) | 6.5 (2–21) |
| Median duration of first event, days (range) | 21 (6–56) | 23.5 (6–49) |
| Grade ≥3, ^a n (%) | 5 (22) | 3 (33) |
| Any CRS or NE, n (%) | 18 (78) | 7 (78) |
| CRS only, n (%) | 9 (39) | 3 (33) |
| NE only, n (%) | 1 (4) | 1 (11) |
| Tocilizumab and/or steroid use | | |
| Tocilizumab only | 5 (22) | 1 (11) |
| Steroids only | 3 (13) | 2 (22) |
| Both tocilizumab and steroids | 9 (39) | 3 (33) |
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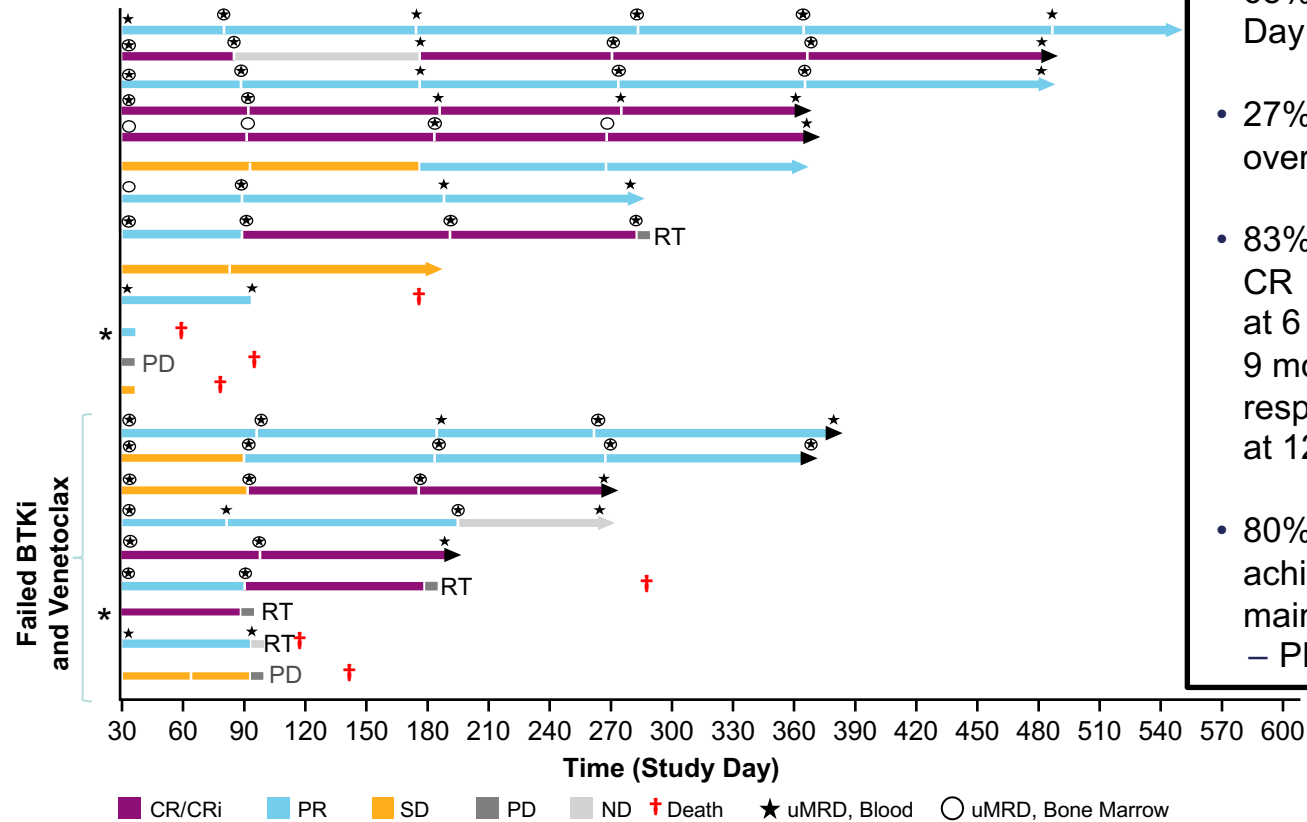
Best Overall Response and Undetectable MRD



Median study follow-up: 11 months

All percentages are rounded to whole numbers except those ending in .5. ^aEvaluable for response defined as having a pretreatment assessment and ≥1 postbaseline assessment. One patient was not evaluable for response. ^bFailed venetoclax defined as discontinuation due to PD or <PR after ≥3 months of therapy. ^cEvaluable for MRD was defined as patients with detectable MRD at baseline. Two patients were not evaluable for MRD. ^dOne patient in this subset was not evaluable for MRD. BTKi, Bruton tyrosine kinase inhibitor; CI, confidence interval; CR, complete response; CRI, complete response with incomplete blood count recovery; MRD, minimal residual disease; NGS, next-generation sequencing; nPR, nodular partial response; PD, progressive disease; PR, partial response; SD, stable disease; uMRD, undetectable minimal residual disease.

Individual Patient Response Assessments



- 68% (15/22) had a response by Day 30
- 27% (6/22) of responses deepened over time
- 83% (10/12) of patients with PR or CR at 6 months remain in response at 9 months, with 8 patients in response at 12 months or longer
- 80% (12/15) of patients who achieved uMRD in blood maintained their responses – PDs were all with RT

*MRD non-evaluable. There were 7 on-study deaths: 5 patients died from disease progression; 1 patient had grade 5 respiratory failure (DL1) unrelated to liso-cel treatment; 1 patient had septic shock, acute kidney injury, and pneumonia (DL2), unrelated to liso-cel treatment. No deaths occurred within the first 30 days. BTKi, Bruton tyrosine kinase inhibitor; CR, complete response; CRi, complete response with incomplete blood count recovery; DL, dose level; MRD, minimal residual disease; ND, not done; PD, progressive disease; PR, partial response; RT, Richter transformation; SD, stable disease; uMRD, undetectable MRD.

Other ongoing CAR T-cell trials in CLL

- ZUMA-8 (axi-cel)
- JCAR014 + ibrutinib
- CTL019 + ibrutinib
- Novel CAR T targets like ROR1 and CD22
- Off-the-shelf CAR T-cell trials

Patient 1: 67 yo man

- 67 yo Caucasian man seen in consultation on 9/18/17
- CLL/SLL diagnosed in 10/2006: high WBCs, ZAP70 pos, del13q, del11q, unmutated IGHV
- Rituximab+lenalidomide x7 (through 10/2008)
- At PD, high dose methylpred + ofatumumab x3
- Nodular PR then PD
- Ibrutinib (with rituximab initially) through 10/2015 when he developed blistering rash and stopped this drug
- Venetoclax started 3/2016 – PR initially but then PD (drug stopped 8/2017)
- High dose methylpred + obinutuzumab

Patient 1 (cont.)

- Enrolled on liso-cel CAR T-cell trial
- Idelalisib controlled disease during cell manufacturing
- Received liso-cel cells on 1/31/18 after Flu/Cy lymphodepletion
- Complications included TLS, CRS, encephalopathy requiring ICU stay, CMV reactivation
- MRD positive remission at Day 30 that deepened to uMRD
- Remains in remission almost 3 years later

Patient 2: 40 yo M

- 36 yo M with previously untreated CLL/SLL and del13q had rapid lymphocyte doubling time and progressing lymphadenopathy soon after diagnosis in 2016
- He consented to participate in the CAPTIVATE Ph2 trial and enrolled on the MRD cohort
- After 16 cycles of combination I+V therapy. He achieved MRD undetectable CR and was randomized to ibrutinib maintenance vs. placebo on 1/25/2018
- He remains on study on maintenance and has no toxicity

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Questions?

