# Key Findings Informing the Treatment of Localized and Advanced Esophageal Cancer

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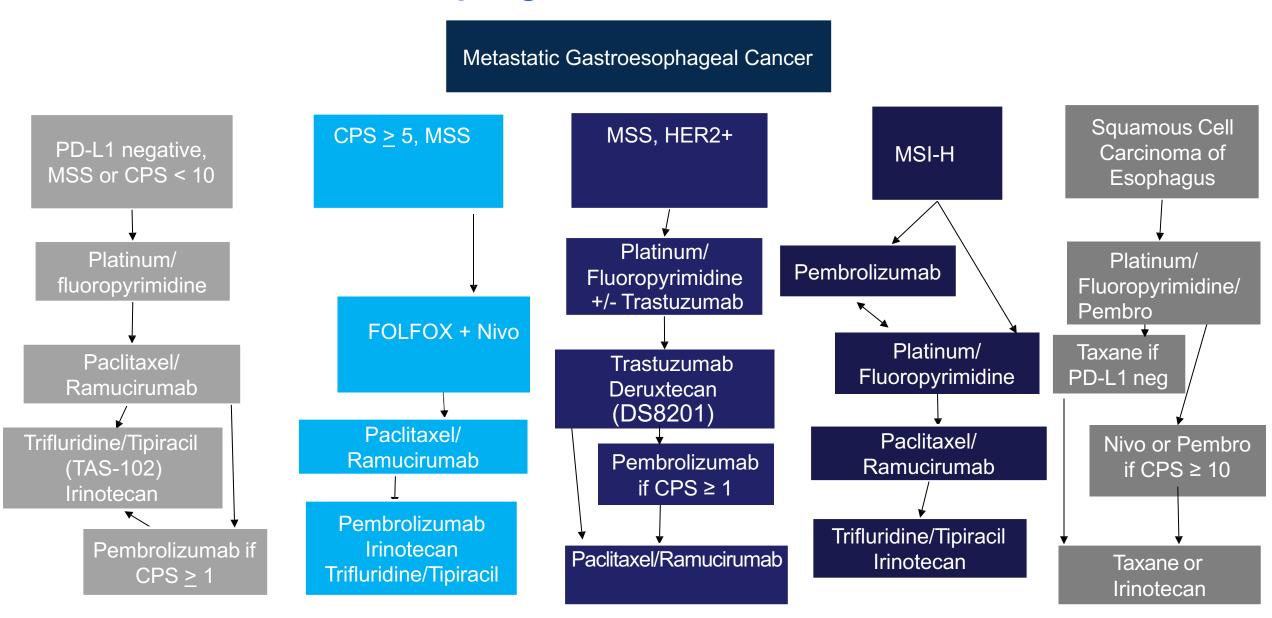
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### **How to Treat Gastroesophageal Cancer in 2020?**



#### CheckMate 577 study design

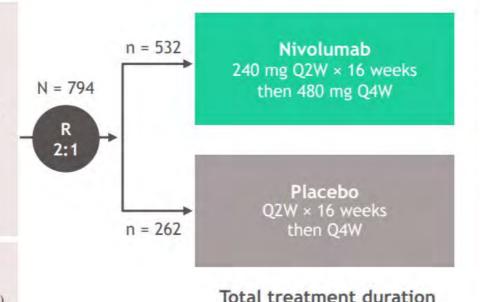
CheckMate 577 is a global, phase 3, randomized, double-blind, placebo-controlled trial<sup>a</sup>

#### Key eligibility criteria

- Stage II/III EC/GEJC
- Adenocarcinoma or squamous cell carcinoma
- Neoadjuvant CRT + surgical resection (R0,<sup>b</sup> performed within 4-16 weeks prior to randomization)
- · Residual pathologic disease
  - ≥ ypT1 or ≥ ypN1
- ECOG PS 0-1

#### Stratification factors

- · Histology (squamous vs adenocarcinoma)
- Pathologic lymph node status (≥ ypN1 vs ypN0)
- Tumor cell PD-L1 expression (≥ 1% vs < 1%<sup>c</sup>)



of up to 1 yeard

#### Primary endpoint:

DFSe

#### Secondary endpoints:

- · OSf
- OS rate at 1, 2, and 3 years

- Median follow-up was 24.4 months (range, 6.2-44.9)<sup>g</sup>
- Geographical regions: Europe (38%), US and Canada (32%), Asia (13%), rest of the world (16%)

<sup>a</sup>ClinicalTrials.gov number, NCT02743494; <sup>b</sup>Patients must have been surgically rendered free of disease with negative margins on resected specimens defined as no vital tumor present within 1 mm of the proximal, distal, or circumferential resection margins; <sup>c</sup>< 1% includes indeterminate/nonevaluable tumor cell PD-L1 expression; <sup>d</sup>Until disease recurrence, unacceptable toxicity, or withdrawal of consent; <sup>e</sup>Assessed by investigator, the study required at least 440 DFS events to achieve 91% power to detect an average HR of 0.72 at a 2-sided α of 0.05, accounting for a pre-specified interim analysis; <sup>f</sup>The study will continue as planned to allow for future analysis of OS; <sup>g</sup>Time from randomization date to clinical data cutoff (May 12, 2020).

#### **CheckMate 577 – Baseline Characteristics**

San Property and Control of the Cont	Nivolumab (n = 532)	Placebo (n = 262)
Median age (range), years	62.0 (26-82)	61.0 (26-86)
Male, %	84	85
Race, a % White Asian	81 16	82 13
ECOG PS, % 0 1	58 42	60 40
Disease stage at initial diagnosis, %	34 66	38 62
Tumor location, % EC GEJC	60 40	59 41
Histology, % Squamous cell carcinoma Adenocarcinoma	29 71	29 71
Pathologic lymph node status ≥ ypN1, %	57	58
Tumor cell PD-L1 expression, <sup>b</sup> % ≥ 1% < 1% Indeterminate/nonevaluable	17 70 13	15 75 10

<sup>&</sup>lt;sup>a</sup>Other races not shown; <sup>b</sup>Tumor cell PD-L1 expression determined from surgical specimen by the PD-L1 IHC 28-8 pharmDx assay (Dako).

# **CheckMate 577 – Subgroup Analyses**

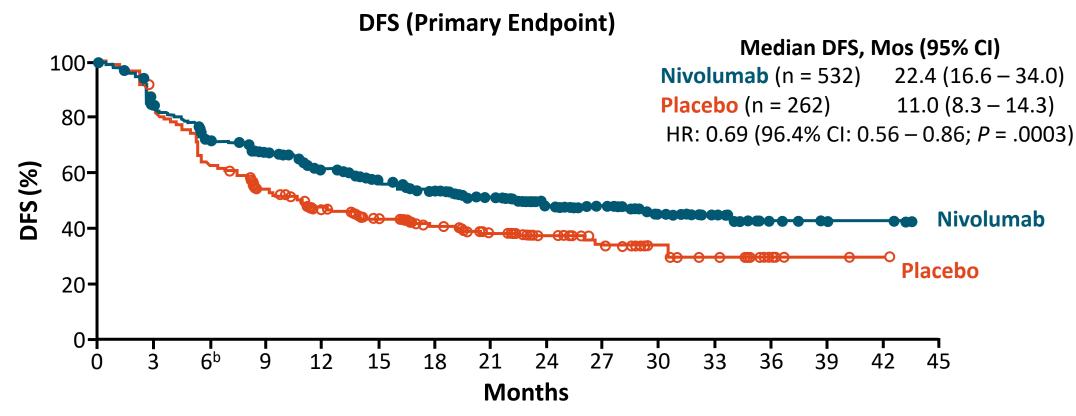
Cubanana		Median DFS	Median DFS, months		Unstratified HR
Subgroup		Nivolumab	Placebo	Unstratified HR	(95% CI)
Overall (N = 794)		22.4	11.0	0.70	-
Age, years	< 65 (n = 507) ≥ 65 (n = 287)	24.4 17.0	10.8 13.9	0.65 0.80	<b>*</b>
Sex	Male (n = 671) Female (n = 123)	21.4 Not reached	11.1 11.0	0.73 0.59	-
Race	White (n = 648) Asian (n = 117)	21.3 24.0	10.9 10.2	0.71 0.70	-
ECOG PS	0 (n = 464) 1 (n = 330)	29.4 17.0	11.1 10.9	0.73 0.66	<b>*</b>
Disease stage at initial diagnosis	II (n = 278) III (n = 514)	34.0 19.4	13.9 8.5	0.72 0.68	<b>→</b>
Tumor location	EC (n = 462) GEJC (n = 332)	24.0 22.4	8.3 20.6	0.61 0.87	-
Histology	Adenocarcinoma (n = 563) Squamous cell carcinoma (n = 230)	19.4 29.7	11.1 11.0	0.75 0.61	<b>+</b>
Pathologic lymph node status	ypN0 (n = 336) ≥ ypN1 (n = 457)	Not reached 14.8	27.0 7.6	0.74 0.67	<b>+</b>
Tumor cell PD-L1 expression	≥ 1% (n = 129) < 1% (n = 570) Indeterminate/nonevaluable (n = 95)	19.7 21.3 Not reached	14.1 11.1 9.5	0.75 0.73 0.54	-

### **CheckMate 577 – Toxicities**

Patients, n (%)	Nivolumab <sup>a</sup> n = 532		Placebo <sup>a</sup> n = 260	
	Any grade	Grade 3-4	Any grade	Grade 3-4
Any AEsb	510 (96)	183 (34)	243 (93)	84 (32)
Serious AEs	158 (30)	107 (20)	78 (30)	53 (20)
AEs leading to discontinuation	68 (13)	38 (7)	20 (8)	16 (6)
Any TRAEsb,c	376 (71)	71 (13)	119 (46)	15 (6)
Serious TRAEs <sup>c</sup>	40 (8)	29 (5)	7 (3)	3 (1)
TRAEs leading to discontinuation <sup>c</sup>	48 (9)	26 (5)	8 (3)	7 (3)
TRAEs in ≥10% of treated patients in ei				
Fatigue	90 (17)	6 (1)	29 (11)	1 (< 1)
Diarrhea	88 (17)	2 (< 1)	39 (15)	2 (< 1)
Pruritus	53 (10)	2 (< 1)	9 (3)	0
Rash	52 (10)	4 (< 1)	10 (4)	1 (< 1)
Endocrine	93 (17)	5 (< 1)	6 (2)	0
Gastrointestinal	91 (17)	4 (< 1)	40 (15)	3 (1)
Hepatic	49 (9)	6 (1)	18 (7)	4 (2)
Pulmonary	23 (4)	6 (1)	4 (2)	1 (< 1)
Renal	7 (1)	1 (< 1)	2 (< 1)	0
Skin	130 (24)	7 (1)	28 (11)	1 (< 1)

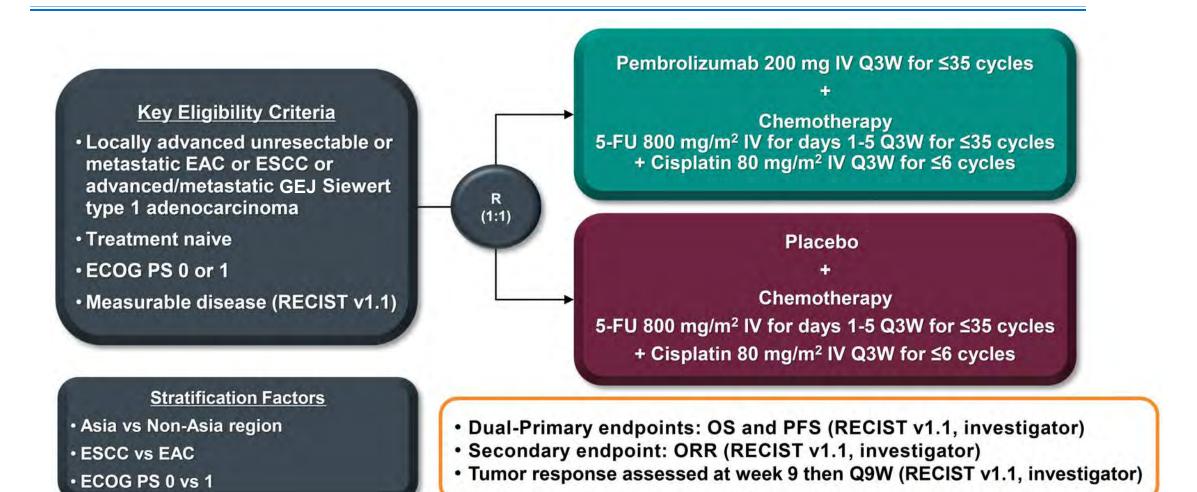
# CheckMate 577: Adjuvant Nivolumab Following Neoadjuvant CRT/Resection in Esophageal/GEJ Cancer

Randomized phase III trial of adjuvant nivolumab vs placebo following neoadjuvant CRT + surgical resection\* for pts with stage II/III esophageal/GEJ adenocarcinoma/SCC (N = 794)



<sup>\*</sup>Residual pathologic disease ≥ ypT1 or ≥ ypN1.

### First-Line Metastatic Esophageal Cancer – KEYNOTE-590

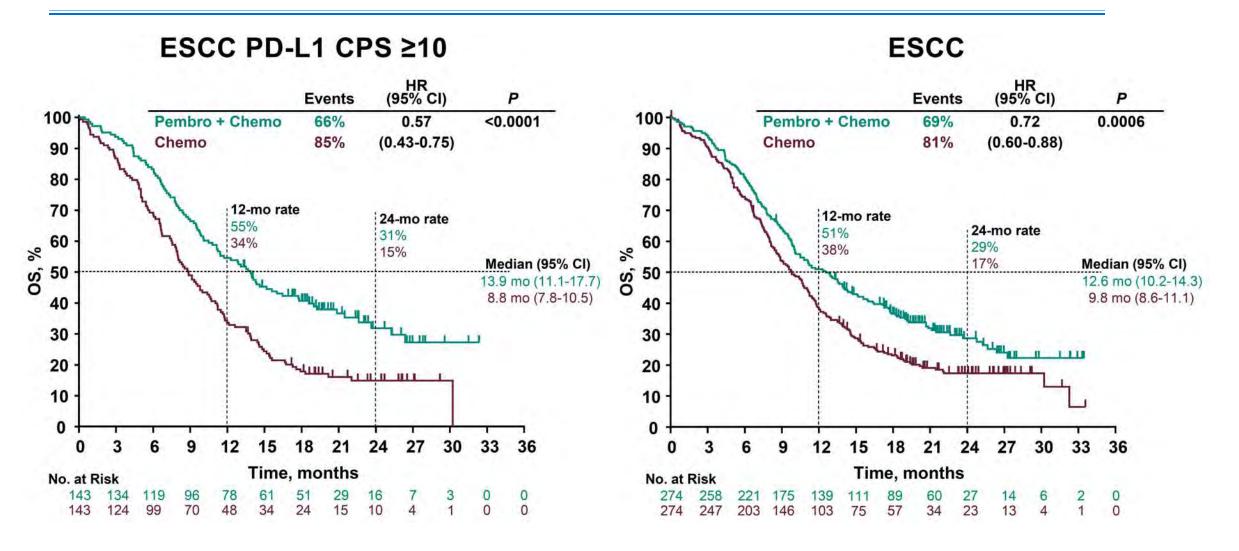


### **KEYNOTE-590 – Baseline Characteristics**

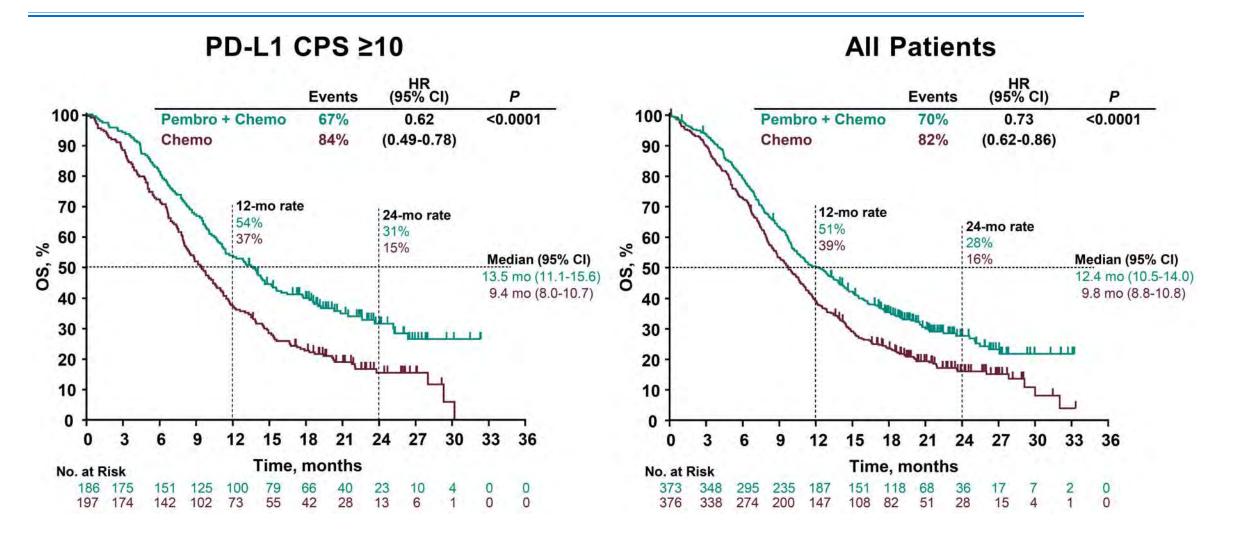
Characteristic, n (%)	Pembro + Chemo N = 373	Chemo N = 376
Median age, years (range)	64.0 (28-94)	62.0 (27-89)
≥65 years	172 (46)	150 (40)
Male	306 (82.0)	319 (84.8)
Asia Region	196 (52.5)	197 (52.4)
ECOG PS 1	223 (59.8)	225 (59.8)
Metastatic disease	344 (92.2)	339 (90.2)
Unresectable/locally-advanced	29 (7.8)	37 (9.8)
Squamous-cell carcinoma	274 (73.5)	274 (72.9)
Adenocarcinoma	99 (26.5)	102 (27.1)
Esophageal	58 (15.5)	52 (13.8)
GEJ	41 (11.0)	50 (13.3)
PD-L1 CPS ≥10a	186 (49.9)	197 (52.4)

<sup>&</sup>lt;sup>a</sup>PD-L1 status was not evaluable or missing in 12 patients in the pembro + chemo group and 7 patients in the chemo group. Data cut-off: July 2, 2020.

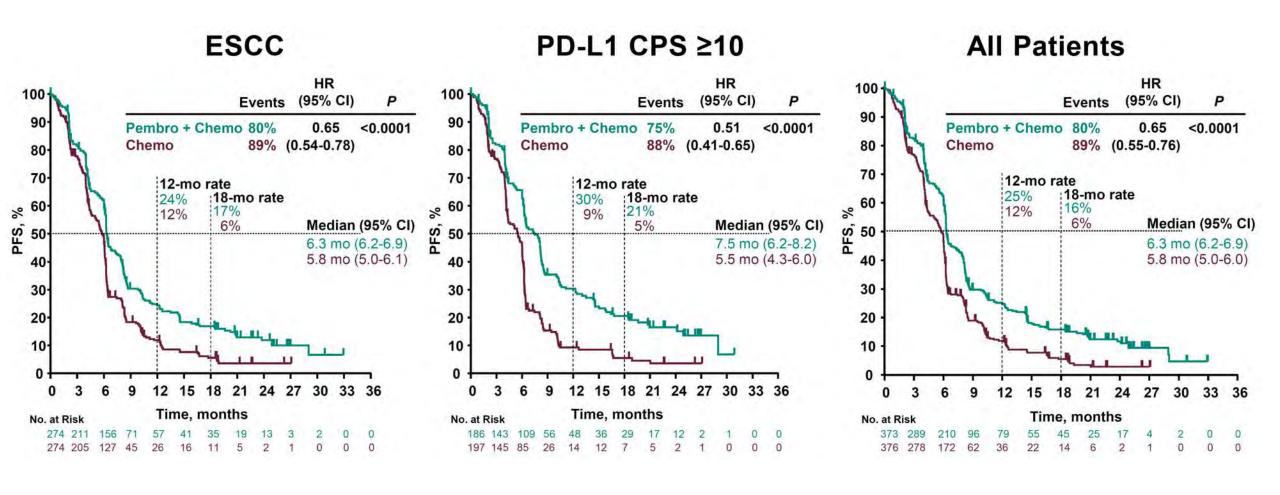
#### **KEYNOTE-590 – Overall Survival in SCC Patients**



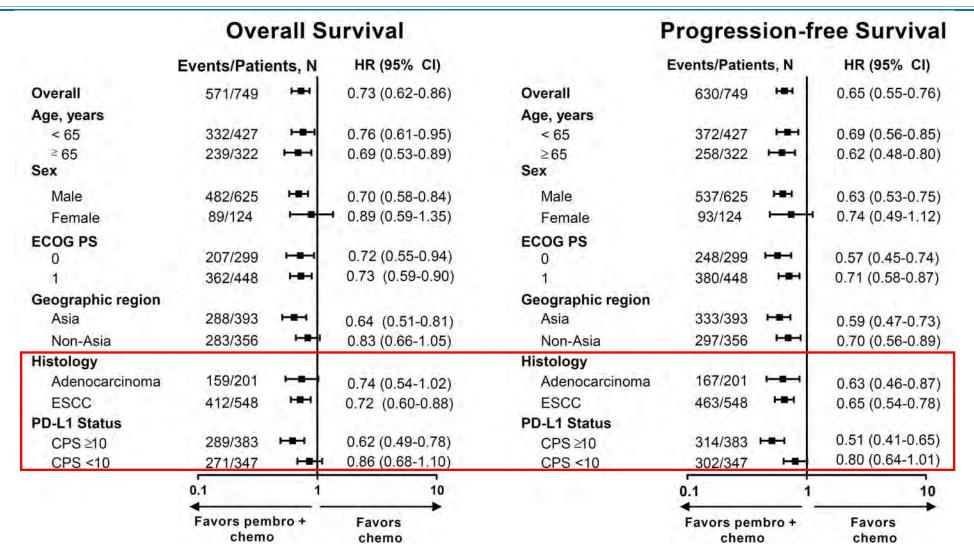
#### **KEYNOTE-590 – Overall Survival in All Patients**



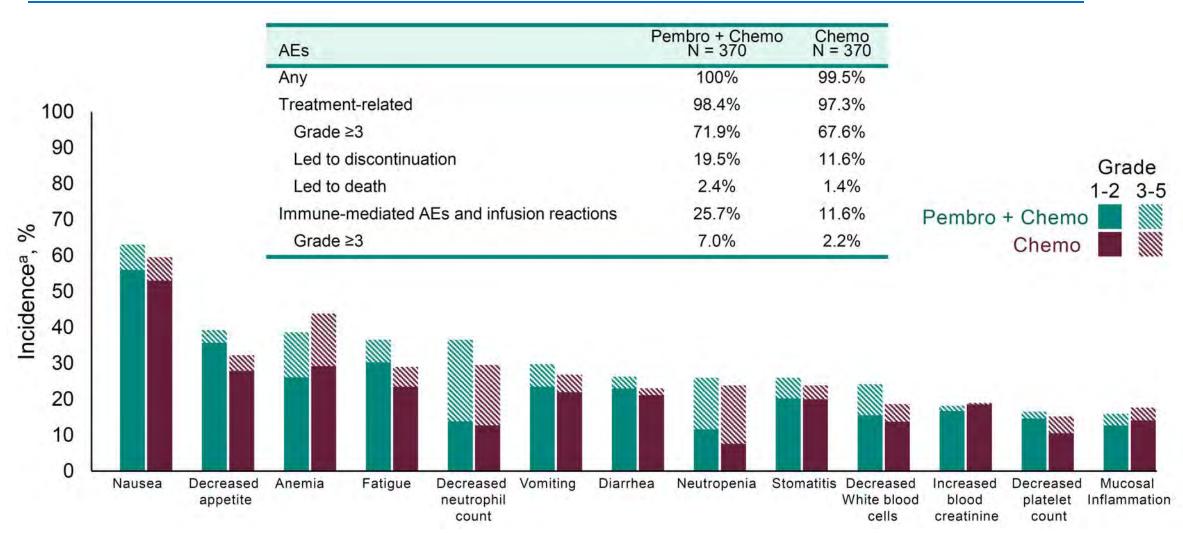
# **KEYNOTE-590 – Progression-Free Survival**



## **KEYNOTE-590 – Subgroup Analyses**



#### **KEYNOTE-590 – Adverse Events**



# Phase 3 KEYNOTE-181 Study (NCT02564263)

#### **Key Eligibility Criteria**

- Advanced/metastatic adenocarcinoma or squamous-cell carcinoma of the esophagus or Siewert type 1 adenocarcinoma of the GEJ
- Measurable disease per RECIST v1.1
- Progression on or after first-line therapy
- ECOG PS 0-1

N = 314 Pembrolizumab
200 mg IV Q3W for up to 35 cycles

Investigator's choice of 1 of the following:

- Paclitaxel 80-100 mg/m<sup>2</sup> on days 1, 8, 15
   Q4W
- Docetaxel 75 mg/m² Q3W
- Irinotecan 180 mg/m<sup>2</sup> Q2W

#### Stratification by

- Histology: squamous-cell carcinoma /adenocarcinoma
- Region: Asia/Rest-of-world

#### Primary end points

OS in patients

- · In the ITT group
- · With SCC
- Whose tumor had a PD-L1 CPS ≥10

# Secondary end points

N = 314

- PFS
- ORR
- Safety

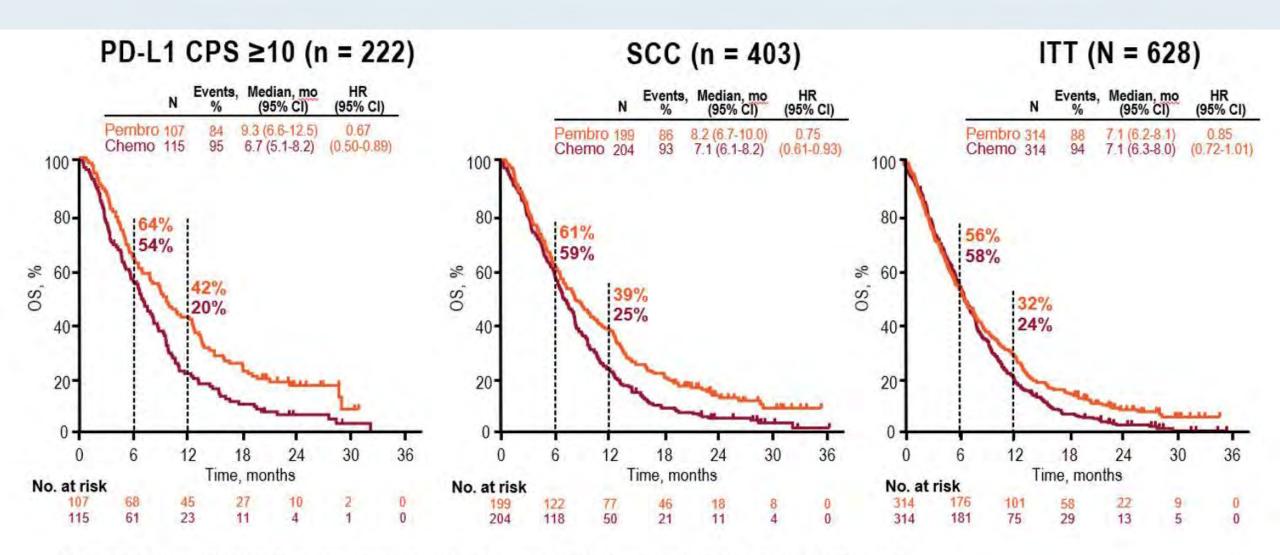
# Exploratory end points

 HRQoL in patients whose tumor had a PD-L1 CPS ≥10

R (1:1)

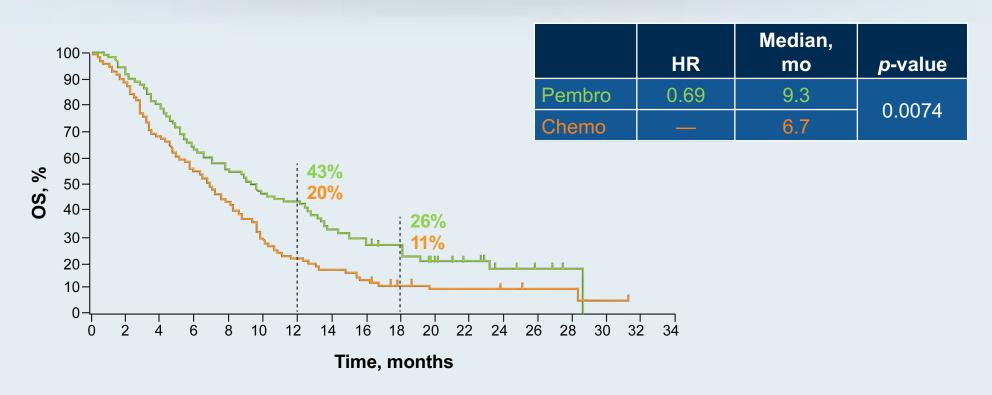
N = 628

### **KEYNOTE-181: Overall Survival in the Global Population**



Data cutoff: February 13, 2019; these data represent an additional 4 months of follow up data from the October 15, 2018 cutoff.

# **KEYNOTE-181: Overall Survival (PD-L1 CPS ≥10) for Patients with Squamous Cell Carcinoma**



- ORR higher with pembrolizumab than with chemotherapy for patients with CPS ≥10 (21.5% vs 6.1%)
- Lower frequency of Grade 3-5 treatment-related adverse events with pembrolizumab than with chemotherapy (18.2% vs 40.9%); no new safety signals observed

Kojima T et al. Gastrointestinal Cancers Symposium 2019; Abstract 2; Metges J et al. *Proc ESMO World GI Congress*2019; Abstract O-012.

Courtesy of Zev Wainberg, MD, MSc

# ATTRACTION-3: Nivolumab in Esophageal Squamous Cell Carcinoma (ESCC)

Stratificationb:

No. of organs

expression

with metastases

Region

PD-L1

#### Key eligibility criteria

- Unresectable advanced or recurrent ESCC
- Refractory to or intolerant of 1 prior fluoropyrimidine/ platinum-based therapy
- ECOG performance status 0 or 1



**Docetaxel** 75 mg/m<sup>2</sup> IV Q3W<sup>c</sup> or paclitaxel 100 mg/m<sup>2</sup> IV QW × 6 weeks, then 1 week off<sup>c</sup>

#### Primary endpoint:

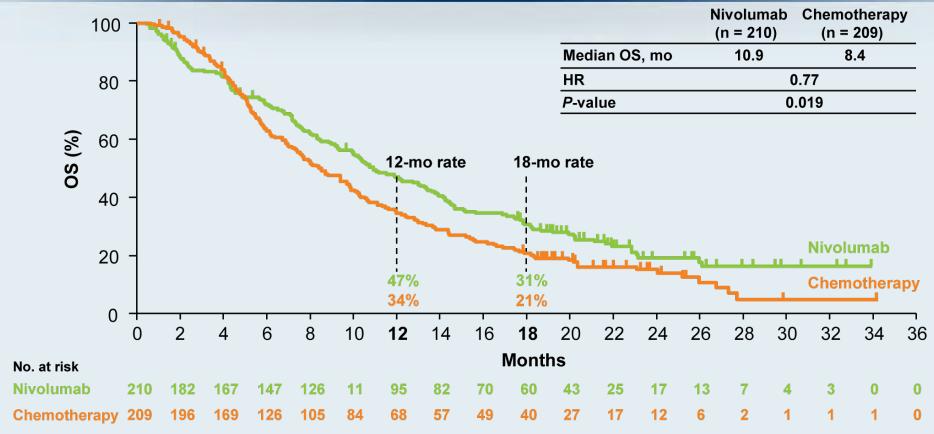
OS

#### Other key endpoints:

 PFS, ORR, DCR, TTR, DOR, HRQoL, and safety

	Nivolumab	Chemotherapy	P value
Overall Response Rate	19%	22%	0.63
Disease Control Rate	37%	63%	
Median Time to Response	2.6 months	1.5 months	
Duration of Response	6.9 months	3.9 months	
Treatment-Related Adverse Events	66%	95%	
Dose delays due to Adverse Events	39%	50%	

#### **ATTRACTION-3: Overall Survival**



- Nivolumab demonstrated a statistically significant and clinically meaningful improvement in OS versus chemotherapy:
  - 23% reduction in the risk of death and a 2.5-month improvement in median OS
- Nivolumab showed an improved safety profile compared with chemotherapy:
  - More than 3 times lower incidence (18% vs 63%) of Grade 3-4 TRAEs

# **Case 1: Patient With Locally Advanced Esophageal Cancer**

- 67-yr-old man with history of stage III (T3N1) distal esophageal adenocarcinoma
  - CC: Dysphagia on eating solid food, 25 lb weight loss, never had EGD before
- EGD: Tumor described as being in distal esophagus (above GEJ), HER2 + By IHC, negative by FISH
- CT scans: Thickening of GEJ, non-specific pulmonary nodules, no metastatic disease
- Case discussed at clinic. Options presented to patient included neoadjuvant chemo/XRT vs definitive chemoradiation
- Patient decided he wanted surgery

# Case 1 Continued: Patient With Locally Advanced Esophageal Cancer

- Treated with neo-adjuvant carbo/paclitaxel/radiation (CROSS regimen) x 6 weeks, tolerated moderately with some esophagitis, weakness, and cytopenias
- Referral to Discussion about esophagectomy, decided to do it
  - Pathology: T2N1 (2/26)
- Patient was asymptomatic and observed for 6 months
- CT abd/pelvis: multiple enlarged LNs with biopsy proven left RP nodal recurrence (poorly differentiated adeno, CPS 5) started FOLFOX with initial improvement on imaging
- 6 months later, disease progression with new liver lesions
- Started on Pembro, better tolerated on chemo. SD on imaging

# **Case 2: Patient With Metastatic Esophageal Cancer**

- 60 yr-old man with a 40 pack year history of smoking now with esophageal squamous cell carcinoma
  - CC: Odynophagia, Dysphagia on eating solid and liquids, 30 lb weight loss, never had EGD before
- EGD: Tumor described as being in mid-esophagus nearly completely obstructing, pathology showed SCC, PD-L1 CPS 10
- CT scans: Thickening of esophagus, 5-6 bilateral pulmonary nodules, largest was 2 cm, FDG avid on PET scan
- Patient underwent stent placement but no improvement, feeding tube placed
- Started on chemo with 5-FU/cisplatin + pembro

# Case 2 continued: Patient With Metastatic Esophageal Cancer

- Chemo associated with fatigue, nausea/vomiting
- Some improvement in swallowing, but fatigue worsens, thyroid function testing indicates elevated TSH (> 10 mIU/L) and low free T4, suggesting primary hypothyroidism CT scans showed some improvement, swallowing mildly improved
- Referral to radiation, started palliative radiation with weekly carbo/paclitaxel
- After 4 months, CT scans show SD, platinum stopped and continued on 5-FU/Pembro
- CT abd/pelvis: several new areas in lungs
- Started on irinotecan as a single agent with SD

# **My Conclusions**

- Adjuvant anti-PD-1 therapy has emerged as a standard treatment in poor pathologic responders to neoadjuvant chemoradiation; further biomarker analyses (PD-L1 CPS) needed
- Addition of anti-PD-1 therapy to first-line chemotherapy has entered into the treatment paradigm for unresectable/metastatic gastroesophageal cancer
- Patient selection still appears to be key:

ESCC, with greater likelihood of benefit in PD-L1 CPS ≥ 10

PD-L1 CPS ≥ 5 for adenocarcinomas of the stomach and GEJ (and likely esophagus)

 Tumor PD-L1 CPS (combined positive score) ascertainment may be different between the 28-8 and 22C3 assays (need more data)